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EXAMINER
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CERULLO, LILIANA P

ART UNIT	PAPER NUMBER
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2629

NOTIFICATION DATE	DELIVERY MODE
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04/03/2009

ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

mailroom@bskb.com

<b>Office Action Summary</b>	<b>Application No.</b> 10/566,216	<b>Applicant(s)</b> SHIMIZU ET AL.	
	<b>Examiner</b> LILIANA CERULLO	<b>Art Unit</b> 2629	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 12 February 2009.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-22 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-22 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

In an amendment dated, 2/12/2009, the Applicant amended claims 1, 3-6, 9, 12-14 and 16-18; added new claims 21 and 22; and canceled claims 2 and 11. Currently claims 1, 3-10 and 12-22 are pending.

### ***Claim Objections***

**Claim 12** objected to because of the following informalities: Claim 12 use the term "and/or"; it is unclear if the limitation intended is "and" or "or". For the purpose of examination, the examiner interpreted the term "and/or" to mean "or".

Appropriate correction is required.

### ***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. **Claim 3** is rejected under 35 U.S.C. 102(b) as being anticipated by Muthu in US 6,411,046 (hereinafter MuthuPatent).

MuthuPatent teaches a light emitting apparatus (luminaire of col. 1 lines 6-10) comprising:

at least two light emitting elements with different chromaticities (red, green and blue LEDs of col. 1 lines 67 to col3 line 2 and Fig. 1; because the LEDs have different colors, they have different chromaticity);

a light emitting element controller (controllers 30 and 34 of Fig. 1) that controls light emitted from the light emitting apparatus (col. 3 lines 22-26, where microcontroller 34 controls the light output of the LEDs) so as to be a desired chromaticity (col. 3 lines 18-26 where the microcontroller controls light output based on calculated CIE xy coordinates, which are chromaticity coordinates); and

storage (Fig. 1 memory 36) that previously stores drive current values (col. 3 lines 16-26 where the memory 36 stores drive current values in the form of CIE xy coordinates which are used to control the power supply 9 that feeds current to the LEDs through LED drivers 11, 13 and 15 of Fig. 1) for

a plurality of light emitting element temperatures (col. 3 lines 12-16 referring to different heat-sink temperatures) for controlling the light emitted from the light emitting apparatus so as to be the desired chromaticity (col. 3 lines 18-26 where the microcontroller controls light output based on calculated CIE xy coordinates, which are chromaticity coordinates), wherein

the light emitting element controller (controllers 30 and 34 of Fig. 1) controls drive currents of the light emitting elements (Fig. 1, where the controllers are connected to the power supply 9 that supplies the current to the LEDs through the LED drivers) based on the drive current values corresponding to a given

Art Unit: 2629

temperature stored in the storage (CIE xy coordinates stores in memory 36 of Fig. 1 and explained in col. 3, lines 16-26).

***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims **1, 4, 6-12, 20 and 21** are rejected under 35 U.S.C. 103(a) as being unpatentable over Muthu in US 6,411,046 (hereinafter MuthuPatent) in view of Muthu et al. in US 2002/0097000 (hereinafter MuthuPub2002).

5. Regarding **claim 1**, MuthuPatent teaches a light emitting apparatus (luminaire of col. 1 lines 6-10) comprising:

at least two light emitting elements (MuthuPatent, red, green and blue LEDs of col. 2 lines 67 to col. 3 line 2 and Fig. 1) with different chromaticities (MuthuPatent, green and blue LEDs are different colors and therefore have different chromaticities); and

a light emitting element controller (MuthuPatent, controllers 30 and 34 of Fig. 1) that controls drive currents or drive voltages of light emitted from the light emitting apparatus (MuthuPatent, col. 3 lines 22-26, where microcontroller 34 controls the light output of the LEDs by using a potentiometer, thus teaching controlling current or

Art Unit: 2629

voltage) so as to be a desired chromaticity (MuthuPatent, col. 3 lines 18-26, where the microcontroller controls light output based on calculated CIE xy coordinates, which are chromaticity coordinates), wherein

the light emitting element controller (MuthuPatent, controllers 30 and 34 of Fig. 1) controls the light emitting elements based on a predetermined function of light emitting element temperature variation (MuthuPatent, col. 3 lines 12-26 where the microcontroller derives equations for the chromaticity coordinates, CIE xy, as a function of different heat-sink temperatures).

Although MuthuPatent teaches the predetermined function of light output (lumens) to be a linear function of temperature variation (Muthu Patent, col. 2 lines 12-25, functions of col. 5 lines 16-22 and col. 4 lines 42-44 referring to first-order polynomials), MuthuPatent does not explicitly disclose the predetermined function based on drive current. However, MuthuPub2002 teaches that it is well known in the art that the light output (lumens) of the LED is proportional to the forward current (MuthuPub2002 para. 43 lines 14-16). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention, to use a proportional conversion of the MuthuPatent predetermined linear function (first order polynomial) of light output and heat-sink temperature (MuthuPatent col. 5 lines 17-23) and obtain a substantially linear formula of current and heat-sink temperature (given that lumen and forward current are proportional, thus they vary according to a constant multiple between them), because one of the ways to modify light output is by modifying LED forward current, and thus, a formula of forward current as a linear function of heat-sink temperature would

Art Unit: 2629

provide the benefit of enabling modification of the lumen output, as it is an objective of the MuthuPatent to obtain the required lumens for the LEDs (MuthuPatent, col. 5 lines 13-16).

6. Regarding **claim 4**, MuthuPatent teaches a light emitting apparatus comprising (luminaire of col. 1 lines 6-10):

at least two light emitting elements with different chromaticities (MuthuPatent, red, green and blue LEDs of col. 1 lines 67 to col3 line 2 and Fig. 1; because the LEDs have different colors, they have different chromaticity);

a light emitting element controller (MuthuPatent, controllers 30 and 34 of Fig. 1) that controls drive currents or drive voltages of the light emitted from the light emitting apparatus (MuthuPatent, col. 3 lines 22-26, where microcontroller 34 controls the light output of the LEDs by using a potentiometer, thus teaching controlling current or voltage) so as to be a desired chromaticity (MuthuPatent, col. 3 lines 18-26, where the microcontroller controls light output based on calculated CIE xy coordinates, which are chromaticity coordinates); and

a temperature detector (MuthuPatent, Fig. 1, temperature sensor 33) wherein the light emitting element controller (MuthuPatent, controllers 30 and 34 of Fig. 1) controls the light emitting elements based on a signal from the temperature detector (MuthuPatent, col. 3 lines 12-26 where the temperature sensor 33 feeds LED temperatures to the microcontroller 34, which controls the light output) and a predetermined function of light emitting element temperature variation (MuthuPatent,

Art Unit: 2629

col. 3 lines 12-26 where the microcontroller derives equations for the chromaticity coordinates, CIE xy, as a function of different heat-sink temperatures).

Although MuthuPatent teaches the predetermined function of light output (lumens) to be a linear function of temperature variation (Muthu Patent, col. 2 lines 12-25, functions of col. 5 lines 16-22 and col. 4 lines 42-44 referring to first-order polynomials), MuthuPatent does not explicitly disclose the predetermined function based on drive current. However, MuthuPub2002 teaches that it is well known in the art that the light output (lumens) of the LED is proportional to the forward current (MuthuPub2002 para. 43 lines 14-16). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention, to use a proportional conversion of the MuthuPatent predetermined linear function (first order polynomial) of light output and heat-sink temperature (MuthuPatent col. 5 lines 17-23) and obtain a substantially linear formula of current and heat-sink temperature (given that lumen and forward current are proportional, thus they vary according to a constant multiple between them), because one of the ways to modify light output is by modifying LED forward current, and thus, a formula of forward current as a linear function of heat-sink temperature would provide the benefit of enabling modification of the lumen output, as it is an objective of the MuthuPatent to obtain the required lumens for the LEDs (MuthuPatent, col. 5 lines 13-16).

7. Regarding **claim 6**, MuthuPatent teaches a light emitting apparatus comprising (MuthuPatent, luminaire of col. 1 lines 6-10):



at least two light emitting elements with different chromaticities (MuthuPatent, red, green and blue LEDs of col. 1 lines 67 to col3 line 2 and Fig. 1; because the LEDs have different colors, they have different chromaticity);

a light emitting element controller (MuthuPatent, controllers 30 and 34 of Fig. 1) that controls drive currents or drive voltages of the light emitted from the light emitting apparatus (MuthuPatent, col. 3 lines 22-26, where microcontroller 34 controls the light output of the LEDs by using a potentiometer, thus teaching controlling current or voltage) so as to be a desired chromaticity (MuthuPatent, col. 3 lines 18-26, where the microcontroller controls light output based on calculated CIE xy coordinates, which are chromaticity coordinates); and

a temperature setter (MuthuPatent, microcontroller 34, which controls the color temperature of the LEDs after settings are inputted by a user, per. col. 3 lines 18-26), wherein

the light emitting element controller (MuthuPatent, controllers 30 and 34 of Fig. 1) controls the light emitting elements based on a value set in the temperature setter (MuthuPatent, setting inputted in the microcontroller 34 that controls color temperature of the LEDs per col. 3 lines 18-26) and a predetermined function of light emitting element temperature variation (MuthuPatent, col. 3 lines 12-26) where the microcontroller derives equations for the chromaticity coordinates, CIE xy, as a function of different heat-sink temperatures).

Although MuthuPatent teaches the predetermined function of light output (lumens) to be a linear function of temperature variation (Muthu Patent, col. 2 lines 12-

Art Unit: 2629

25, functions of col. 5 lines 16-22 and col. 4 lines 42-44 referring to first-order polynomials), MuthuPatent does not explicitly disclose the predetermined function based on drive current. However, MuthuPub2002 teaches that it is well known in the art that the light output (lumens) of the LED is proportional to the forward current (MuthuPub2002 para. 43 lines 14-16). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention, to use a proportional conversion of the MuthuPatent predetermined linear function (first order polynomial) of light output and heat-sink temperature (MuthuPatent col. 5 lines 17-23) and obtain a substantially linear formula of current and heat-sink temperature (given that lumen and forward current are proportional, thus they vary according to a constant multiple between them), because one of the ways to modify light output is by modifying LED forward current, and thus, a formula of forward current as a linear function of heat-sink temperature would provide the benefit of enabling modification of the lumen output, as it is an objective of the MuthuPatent to obtain the required lumens for the LEDs (MuthuPatent, col. 5 lines 13-16).

8. Regarding **claim 7**, MuthuPatent teaches the light emitting element controller (MuthuPatent, controllers 30 and 34 of Fig. 1) controls light emitted from the light emitting apparatus (MuthuPatent, col. 3 lines 22-26, where microcontroller 34 controls the light output of the LEDs) so as to be a desired chromaticity (MuthuPatent, col. 3 lines 18-26, where the microcontroller controls light output based on calculated CIE xy

Art Unit: 2629

coordinates, which are chromaticity coordinates) that belongs to white light (MuthuPatent, col. 3 lines 29-32).

9. Regarding **claim 8**, MuthuPatent teaches the light emitting elements are light emitting diodes (MuthuPatent, col. 2 line 67 to col. 3 line 5).

10. Regarding **claim 9**, MuthuPatent teaches LED lighting (MuthuPatent, luminaire of col. 1 lines 6-10) comprising:

LEDs with three different chromaticities of red, blue and green LEDs (MuthuPatent, red, green and blue LEDs of col. 2 line 67 to col 3 line 2 and Fig. 1; different colors have different chromaticities);

an LED controller (MuthuPatent, controllers 30 and 34 of Fig. 1) that controls light emitted from the LED lighting (MuthuPatent, col. 3 lines 22-26, where microcontroller 34 controls the light output of the LEDs) so as to be a desired chromaticity (MuthuPatent, col. 3 lines 18-26 where the microcontroller controls light output based on calculated CIE xy coordinates, which are chromaticity coordinates);

the LED controller (MuthuPatent, controllers 30 and 34 of Fig. 1) controls drive currents of the LEDs (MuthuPatent, Fig. 1, where the controllers are connected to the power supply 9 that supplies the current to the LEDs through the LED drivers) based on a predetermined function of LED temperature variation (MuthuPatent, col. 3 lines 12-26 where the microcontroller derives equations for the chromaticity coordinates, CIE xy, as

Art Unit: 2629

a function of different heat-sink temperatures) and thus controls the light emitted from the LED lighting so as to be white light (MuthuPatent, col. 3 lines 29-32), wherein

the LED controller drives one LED with any one of the chromaticities (MuthuPatent, Red, Green and BLUE LEDs col. 13 lines 18-22) at a constant current (When the forward current for the LED arrays is regulated by PWM, there is a constant peak current per col. 4 lines 26-36 of MuthuPatent).

Although MuthuPatent teaches the predetermined function of light output (lumens) to be a linear function of temperature variation (Muthu Patent, col. 2 lines 12-25, functions of col. 5 lines 16-22 and col. 4 lines 42-44 referring to first-order polynomials), MuthuPatent does not explicitly disclose the predetermined function based on drive current. However, MuthuPub2002 teaches that it is well known in the art that the light output (lumens) of the LED is proportional to the forward current (MuthuPub2002 para. 43 lines 14-16). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention, to use a proportional conversion of the MuthuPatent predetermined linear function (first order polynomial) of light output and heat-sink temperature (MuthuPatent col. 5 lines 17-23) and obtain a substantially linear formula of current and heat-sink temperature (given that lumen and forward current are proportional, thus they vary according to a constant multiple between them), because one of the ways to modify light output is by modifying LED forward current, and thus, a formula of forward current as a linear function of heat-sink temperature would provide the benefit of enabling modification of the lumen output, as it is an objective of

Art Unit: 2629

the MuthuPatent to obtain the required lumens for the LEDs (MuthuPatent, col. 5 lines 13-16).

11. Regarding **claim 10**, MuthuPatent teaches the red LED (MuthuPatent, col. 4 lines 27-34 where the forward current of the LED arrays are regulated, and col.2 line 67 to col. 3 line 2, where the LED array includes a RED LED 10) is driven at a constant current (MuthuPatent, When the forward current for the LED arrays, including the red LED, is regulated by PWM, there is a constant peak current per col. 4 lines 26-36).

12. Regarding **claim 12**, MuthuPatent teaches LED lighting (MuthuPatent, luminaire of col. 1 lines 6-10) comprising:

LEDs with three different chromaticities of red, blue and green LEDs (MuthuPatent, red, green and blue LEDs of col. 2 line 67 to col 3 line 2 and Fig. 1; different colors have different chromaticities); and

an LED controller (MuthuPatent, controllers 30 and 34 of Fig. 1) that controls light emitted from the LED lighting (MuthuPatent, col. 3 lines 22-26, where microcontroller 34 controls the light output of the LEDs) so as to be a desired chromaticity (MuthuPatent, col. 3 lines 18-26 where the microcontroller controls light output based on calculated CIE xy coordinates, which are chromaticity coordinates) and a desired luminance (MuthuPatent, col. 3 lines 53-60 where lumen outputs are calculated based on the desired white color), wherein

the LED controller controls pulse drive periods (MuthuPatent, col. 4 lines 26-36 where the forward current for the LED arrays is subject to Pulse Width Modulation, therefore teaching control of the current pulse driving the LED arrays) of drive currents of the LEDs (MuthuPatent, forward current of col. 4 lines 26-36) based on a predetermined function of LED temperature variation (MuthuPatent, col. 3 lines 12-26 where the microcontroller derives equations for the chromaticity coordinates, CIE xy, as a function of different heat-sink temperatures) and thus controls the light emitted from the LED lighting so as to be white light (MuthuPatent, col. 3 lines 29-32) and thus controls the light emitted from the LED lighting so as to be white light with the desired luminance (MuthuPatent, col. 3 lines 48-60, where the required lumen output of the white light is based on the RGB light sources lumen outputs. As explained above, the RGB light sources are controlled by the controller).

Although MuthuPatent teaches the predetermined function of light output (lumens) to be a linear function of temperature variation (Muthu Patent, col. 2 lines 12-25, functions of col. 5 lines 16-22 and col. 4 lines 42-44 referring to first-order polynomials), MuthuPatent does not explicitly disclose the predetermined function based on drive current. However, MuthuPub2002 teaches that it is well known in the art that the light output (lumens) of the LED is proportional to the forward current (MuthuPub2002 para. 43 lines 14-16). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention, to use a proportional conversion of the MuthuPatent predetermined linear function (first order polynomial) of light output and heat-sink temperature (MuthuPatent col. 5 lines 17-23) and obtain a substantially

Art Unit: 2629

linear formula of current and heat-sink temperature (given that lumen and forward current are proportional, thus they vary according to a constant multiple between them), because one of the ways to modify light output is by modifying LED forward current, and thus, a formula of forward current as a linear function of heat-sink temperature would provide the benefit of enabling modification of the lumen output, as it is an objective of the MuthuPatent to obtain the required lumens for the LEDs (MuthuPatent, col. 5 lines 13-16).

13. Regarding **claim 20**, MuthuPatent teaches control method of a light emitting apparatus (MuthuPatent, luminaire of col. 1 lines 6-10) that comprises at least two light emitting elements with different chromaticities (MuthuPatent, red, green and blue LEDs of col. 2 line 67 to col 3 line 2 and Fig. 1; different colors have different chromaticities), and a light emitting element controller (MuthuPatent, controllers 30 and 34 of Fig. 1) that controls light emitted from the light emitting apparatus so as to be a desired chromaticity (MuthuPatent, col. 3 lines 18-26 where the microcontroller controls light output based on calculated CIE xy coordinates, which are chromaticity coordinates), wherein the light emitting element controller controls the light emitting elements based on a predetermined function of light emitting element temperature variation (MuthuPatent, col. 3 lines 12-26 where the microcontroller derives equations for the chromaticity coordinates, CIE xy, as a function of different heat-sink temperatures).

Although MuthuPatent teaches the predetermined function of light output (lumens) to be a linear function of temperature variation (Muthu Patent, col. 2 lines 12-

Art Unit: 2629

25, functions of col. 5 lines 16-22 and col. 4 lines 42-44 referring to first-order polynomials), MuthuPatent does not explicitly disclose the predetermined function based on drive current. However, MuthuPub2002 teaches that it is well known in the art that the light output (lumens) of the LED is proportional to the forward current (MuthuPub2002 para. 43 lines 14-16). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention, to use a proportional conversion of the MuthuPatent predetermined linear function (first order polynomial) of light output and heat-sink temperature (MuthuPatent col. 5 lines 17-23) and obtain a substantially linear formula of current and heat-sink temperature (given that lumen and forward current are proportional, thus they vary according to a constant multiple between them), because one of the ways to modify light output is by modifying LED forward current, and thus, a formula of forward current as a linear function of heat-sink temperature would provide the benefit of enabling modification of the lumen output, as it is an objective of the MuthuPatent to obtain the required lumens for the LEDs (MuthuPatent, col. 5 lines 13-16).

14. Regarding **claim 21**, MuthuPatent teaches a light emitting apparatus

(MuthuPatent, luminaire of col. 1 lines 6-10) comprising:

at least two light emitting elements with different chromaticities (MuthuPatent, red, green and blue LEDs of col. 2 line 67 to col 3 line 2 and Fig. 1; different colors have different chromaticities),



a light emitting element controller (MuthuPatent, controllers 30 and 34 of Fig. 1) that controls light emitted from the light emitting apparatus so as to be a desired chromaticity (MuthuPatent, col. 3 lines 18-26 where the microcontroller controls light output based on calculated CIE xy coordinates, which are chromaticity coordinates), wherein

the light emitting element controller controls drive currents or drive voltages of the light emitting elements (MuthuPatent, col. 3 lines 22-26, where microcontroller 34 controls the light output of the LEDs by using a potentiometer, thus teaching controlling current or voltage) based on a pre-stored data representing a relation between temperature and light output (Muthu Patent, col. 5 lines 4-7). MuthuPatent does not explicitly disclose storing data calculated representing a relation between temperature and current. However, MuthuPub2002 teaches that it is well known in the art that the light output (lumens) of the LED is proportional to the forward current (MuthuPub2002 para. 43 lines 14-16). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention, to use a proportional conversion of the MuthuPatent predetermined linear function of light output and heat-sink temperature (MuthuPatent col. 5 lines 17-23 and col. 4 lines 42-44 referring to first-order polynomials) and obtain pre-stored data of current and heat-sink temperature, because one of the ways to modify light output is by modifying LED forward current, and thus, pre-storing data related of the relation of temperature with current would provide the benefit of reducing the amount of calculations necessary (MuthuPatent, col. 5 lines 4-7).

Art Unit: 2629

15. **Claim 5** is rejected under 35 U.S.C. 103(a) as being unpatentable over MuthuPatent in US 6,411,046 (hereinafter MuthuPatent) in view of Muthu et al. in US 2002/0097000 (hereinafter MuthuPub2002), in further view of Ozaki in US 2003/0011553.

MuthuPatent teaches a light emitting apparatus comprising (MuthuPatent, luminaire of col. 1 lines 6-10):

at least two light emitting elements with different chromaticities (MuthuPatent, red, green and blue LEDs of col. 1 lines 67 to col3 line 2 and Fig. 1; because the LEDs have different colors, they have different chromaticity);

a light emitting element controller (MuthuPatent, controllers 30 and 34 of Fig. 1) that controls light emitted from the light emitting apparatus (MuthuPatent, col. 3 lines 22-26, where microcontroller 34 controls the light output of the LEDs) so as to be a desired chromaticity (MuthuPatent, col. 3 lines 18-26, where the microcontroller controls light output based on calculated CIE xy coordinates, which are chromaticity coordinates);

a temperature detector (MuthuPatent, Fig. 1, temperature sensor 33); wherein the light emitting element controller controls drive current or drive voltages of the light emitting elements based on signals from the temperature detector (MuthuPatent, col. 3 lines 12-26 where the temperature sensor 33 feeds LED temperatures to the microcontroller 34, which controls the light output by using a potentiometer, thus teaching controlling current or voltage), and a predetermined function of light emitting element temperature variation (MuthuPatent, col. 3 lines 12-26 where the

Art Unit: 2629

microcontroller derives equations for the chromaticity coordinates, CIE xy, as a function of different heat-sink temperatures).

Although MuthuPatent teaches the predetermined function of light output (lumens) to be a linear function of temperature variation (Muthu Patent, col. 2 lines 12-25, functions of col. 5 lines 16-22 and col. 4 lines 42-44 referring to first-order polynomials), MuthuPatent does not explicitly disclose the predetermined function based on drive current. However, MuthuPub2002 teaches that it is well known in the art that the light output (lumens) of the LED is proportional to the forward current (MuthuPub2002 para. 43 lines 14-16). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention, to use a proportional conversion of the MuthuPatent predetermined linear function (first order polynomial) of light output and heat-sink temperature (MuthuPatent col. 5 lines 17-23) and obtain a substantially linear formula of current and heat-sink temperature (given that lumen and forward current are proportional, thus they vary according to a constant multiple between them), because one of the ways to modify light output is by modifying LED forward current, and thus, a formula of forward current as a linear function of heat-sink temperature would provide the benefit of enabling modification of the lumen output, as it is an objective of the MuthuPatent to obtain the required lumens for the LEDs (MuthuPatent, col. 5 lines 13-16).

Furthermore, MuthuPatent also teaches a photosensor that senses the light intensity of all LED's in the array and which feedback that signal to the controller (MuthuPatent, Fig. 1, photosensor 24 and col. 3 lines 6-11); however, MuthuPatent in

Art Unit: 2629

view of MuthuPub2002 fail to teach a drive time detector. Nonetheless, Ozaki teaches controlling the time an LED is ON in order to compensate for reduction of brightness (Ozaki, para. 101). Thus, it would also have been obvious to one of ordinary skill in the art at the time of the invention, to use a drive time detector to control the duration of an LED ON-time in order to obtain the benefit of improved bright balance (as taught by Ozaki in para. 101), as the light intensity is already being measured in MuthuPatent's apparatus, and MuthuPatent's objective is to control color and light output automatically, continuously and on-line (MuthuPatent, col. 1, lines 32-34).

16. **Claims 16-18** are rejected under 35 U.S.C. 103(a) as being unpatentable over Muthu in US 6,411,046 (hereinafter MuthuPatent) in view of Muthu et al. in US 2002/009700 (hereinafter MuthuPub2002), in further view of Nagai et al. in US 2003/0016198 (hereinafter Nagai).

17. Regarding **claim 16**, MuthuPatent teaches an LED light emitting apparatus (MuthuPatent, luminaire of col. 1 lines 6-10) comprising:

LEDs of at least red, blue and green colors (MuthuPatent, red, green, blue LEDs of col. 2 line 67 to col 3 line 2); and

a control portion (MuthuPatent, controllers 30 and 34, and memory 36 of Fig. 1) having a non-volatile memory (MuthuPatent, Fig. 1, memory 36) capable of receiving or providing information for chromaticity and luminance maintenance for temperature of

Art Unit: 2629

the LED light emitting apparatus (MuthuPatent, col. 3 lines 16-26 where chromaticity are the CIE xy coordinates and luminance are lumen outputs);

a control circuit (MuthuPatent, controllers 30 and 34 of Fig. 1) that can read the information on respective colors (MuthuPatent, col. 3 lines 16-18 teaches the microcontroller 34 coupled to memory 36) and write control information into red, blue and green color setting registers (MuthuPatent, Lumen feedback controller 30 of Fig. 1, where is shown the controller providing lumen feedback to the LED drivers 11, 13 and 15),

a calculation circuit (MuthuPatent, microcontroller 34 of Fig. 1) that performs calculation based on signals from the respective color setting registers (MuthuPatent, col. 3 lines 12-16) and

a temperature information signal that is received from a temperature measurement element (MuthuPatent, temperature sensor 33 of Fig. 1 and col. 3 lines 12-16) through a temperature information processing portion (MuthuPatent, microcontroller 34 of Fig. 1), and

current sources (MuthuPatent, power supply 9) for respective colors that provide drive currents for the red, blue and green LEDs (MuthuPatent, as shown in Fig. 1), wherein

the information for chromaticity and luminance maintenance for temperature that is received, provided by and from the non-volatile memory contains predetermined functions (MuthuPatent, col. 3 lines 12-26 where the microcontroller derives equations for the chromaticity coordinates, CIE xy, as a function of different heat-sink

Art Unit: 2629

temperatures, and luminance is the lumen outputs); a temperature coefficient (MuthuPatent, TH of col. 4 lines 50-60), and reference chromaticity and luminance data (MuthuPatent, col. 3 lines 48-60 where the chromaticity coordinates and lumen outputs are calculated for the white light chosen).

Although MuthuPatent teaches the predetermined function of light output (lumens) to be a linear function of temperature variation (Muthu Patent, col. 2 lines 12-25 and linear functions of col. 5 lines 16-22), MuthuPatent does not explicitly disclose the predetermined function based on drive current. However, MuthuPub2002 teaches that it is well known in the art that the light output (lumens) of the LED is proportional to the forward current (MuthuPub2002 para. 43 lines 14-16). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention, to use a proportional conversion of the MuthuPatent predetermined linear function of light output and heat-sink temperature (MuthuPatent col. 5 lines 17-23) and obtain a substantially linear formula of current and heat-sink temperature (given that lumen and forward current are proportional, thus they vary according to a constant multiple between them), because one of the ways to modify light output is by modifying LED forward current, and thus, a formula of forward current as a linear function of heat-sink temperature would provide the benefit of enabling modification of the lumen output, as it is an objective of the MuthuPatent to obtain the required lumens for the LEDs (MuthuPatent, col. 5 lines 13-16).

Furthermore, MuthuPatent in view of MuthuPub2002 do not explicitly teach control circuit writing color information at power startup, or DACs from the calculation circuit.

However, Nagai teaches performing driving control of the LED currents (Nagai, para. 103) by controlling light variation based on chromaticity (Nagai, abstract) by powering on/off the main current (Nagai, para. 103), and also, DACs for chromaticity correction (Nagai, para. 133). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention, to perform control at power startup in MuthuPatent's apparatus to obtain the benefit of chromaticity correction in one image of an image frame period (Nagai, para. 153), and furthermore, it would also have been obvious to use DACs to supply the chromaticity information from MuthuPatent's controllers to the current drivers (MuthuPatent's Fig. 1) to enable current supply to the LEDs with the chromaticity correction.

18. Regarding **claim 17**, MuthuPatent teaches an LED light emitting apparatus (MuthuPatent, luminaire of col. 1 lines 6-10) comprising:

LEDs of at least red, blue and green colors (MuthuPatent, red, green, blue LEDs of col. 2 line 67 to col 3 line 2); and

a control portion (MuthuPatent, controllers 30 and 34, and memory 36 of Fig. 1) having a non-volatile memory (MuthuPatent, Fig. 1, memory 36) capable of receiving or providing information for chromaticity and luminance maintenance for temperature of

Art Unit: 2629

the LED light emitting apparatus (MuthuPatent, col. 3 lines 16-26 where chromaticity are the CIE xy coordinates and luminance are lumen outputs);

a control circuit (MuthuPatent, controllers 30 and 34 of Fig. 1) that can read the information on respective colors (MuthuPatent, col. 3 lines 16-18 teaches the microcontroller 34 coupled to memory 36) and write control information into red, blue and green color setting registers (MuthuPatent, Lumen feedback controller 30 of Fig. 1, where is shown the controller providing lumen feedback to the LED drivers 11, 13 and 15),

a calculation circuit (MuthuPatent, microcontroller 34 of Fig. 1) that performs calculation based on signals from the respective color setting registers (MuthuPatent, col. 3 lines 12-16) and

a temperature information signal that is received from a temperature measurement element (MuthuPatent, temperature sensor 33 of Fig. 1 and col. 3 lines 12-16) through a temperature information processing portion (MuthuPatent, microcontroller 34 of Fig. 1), and

current sources (MuthuPatent, power supply 9) for respective colors that provide drive currents for the red, blue and green LEDs (MuthuPatent, as shown in Fig. 1), wherein

the information for chromaticity and luminance maintenance for temperature that is received, provided by and from the non-volatile memory contains predetermined functions (MuthuPatent, col. 3 lines 12-26 where the microcontroller derives equations for the chromaticity coordinates, CIE xy, as a function of different heat-sink



Art Unit: 2629

temperatures, and luminance is the lumen outputs); a temperature coefficient (MuthuPatent, TH of col. 4 lines 50-60), and reference chromaticity and luminance data (MuthuPatent, col. 3 lines 48-60 where the chromaticity coordinates and lumen outputs are calculated for the white light chosen).

MuthuPatent fails to explicitly teach the predetermined function as a function of drive current or cubic functions of temperature.

Although MuthuPatent does teach the predetermined function of red, green and blue light output (MuthuPatent col. 4 lines 50-60 and col. 5 lines 16-22) can be a cubic function of temperature variation (Muthu Patent, col. 2 lines 12-25, functions of col. 5 lines 16-22 and col. 4 lines 42-44 referring to higher-order polynomials where the current is a second-order polynomial), MuthuPatent does not explicitly disclose the predetermined function based on drive current. However, MuthuPub2002 teaches that it is well known in the art that the light output (lumens) of the LED is proportional to the forward current (MuthuPub2002 para. 43 lines 14-16). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention, to use a proportional conversion of the MuthuPatent predetermined cubic function (higher order polynomial) of light output and heat-sink temperature (MuthuPatent col. 5 lines 17-23) and obtain a cubic formula of current and heat-sink temperature (given that lumen and forward current are proportional, thus they vary according to a constant multiple between them), because one of the ways to modify light output is by modifying LED forward current, and thus, a formula of forward current as a cubic function of heat-sink temperature would provide the benefit of enabling modification of the lumen output, as it

Art Unit: 2629

is an objective of the MuthuPatent to obtain the required lumens for the LEDs (MuthuPatent, col. 5 lines 13-16).

19. Regarding **claim 18**, MuthuPatent teaches an LED light emitting apparatus (luminaire of col. 1 lines 6-10) comprising:

LEDs of red, blue and green colors (red, green, blue LEDs of col. 2 line 67 to col 3 line 2);

current sources for the LEDs of respective colors that are electrically connected to the LEDs (power supply 9 and current drivers 11, 13 and 15 of Fig. 1 as shown);

setting registers for the LEDs of respective colors (Lumen feedback controller 30 of Fig. 1, where is shown the controller providing lumen feedback to the LED drivers 11, 13 and 15);

a control circuit that is electrically connected to the setting registers (controllers 30 and 34 of Fig. 1); and

a non-volatile memory (Fig. 1, memory 36) that is electrically connected to the control circuit (as shown in Fig. 1), wherein

the control circuit includes temperature information through a temperature information (col. 3 lines 12-16) processing portion from a temperature sensing element of the LEDs (temperature sensor 33), wherein

the control circuit calculates control current values for LEDs of respective colors based on predetermined functions stored in the non-volatile memory (col. 3 lines 16-26 where the memory 36 stores drive current values in the form of CIE xy coordinates

Art Unit: 2629

which are used to control the power supply 9 that feeds current to the LEDs through LED drivers 11, 13 and 15 of Fig. 1), and the temperature information that is provided therein (col. 3 lines 12-26, heat-sink temperatures), and thus performs light emission control drive of the LEDs based on the values that are provided into the setting registers (col. 3 lines 12-26, where the microcontroller 34 controls light output and color temperature, and as shown in Fig. 1 by the arrows, the microcontroller 34 feeds into lumen controller 30, which feeds into LED drivers 11, 13 and 15).

Although MuthuPatent teaches the predetermined function of light output (lumens) to be a linear function of temperature variation (Muthu Patent, col. 2 lines 12-25, functions of col. 5 lines 16-22 and col. 4 lines 42-44 referring to first-order polynomials), MuthuPatent does not explicitly disclose the predetermined function based on drive current. However, MuthuPub2002 teaches that it is well known in the art that the light output (lumens) of the LED is proportional to the forward current (MuthuPub2002 para. 43 lines 14-16). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention, to use a proportional conversion of the MuthuPatent predetermined linear function (first order polynomial) of light output and heat-sink temperature (MuthuPatent col. 5 lines 17-23) and obtain a substantially linear formula of current and heat-sink temperature (given that lumen and forward current are proportional, thus they vary according to a constant multiple between them), because one of the ways to modify light output is by modifying LED forward current, and thus, a formula of forward current as a linear function of heat-sink temperature would provide the benefit of enabling modification of the lumen output, as it is an objective of

Art Unit: 2629

the MuthuPatent to obtain the required lumens for the LEDs (MuthuPatent, col. 5 lines 13-16).

MuthuPatent in view of MuthuPub2002 do not explicitly teach DACs from the calculation circuit. However, Nagai teaches DACs for chromaticity correction (Nagai, para. 133). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention, to use DACs to supply the chromaticity information from MuthuPatent's controllers to the current drivers (MuthuPatent's Fig. 1) to enable current supply to the LEDs with the chromaticity correction.

20. **Claim 22** is rejected under 35 U.S.C. 103(a) as being unpatentable over Muthu in US 6,411,046 in view of Muthu et al. in US 2002/009700 as applied to claim 21, in further view of Takeguchi in US 2002/0175632.

MuthuPatent teaches the red, green and blue light outputs (and thus current) as a function of temperature variation and desired output of white light (MuthuPatent col. 5 lines 13-23). But, MuthuPatent in view of MuthuPub2002 do not teach a white LED, and thus they don't teach the drive current of one LED with constant chromaticity for temperature variation while other LED's chromaticity is a linear function of temperature. However, Takeguchi teaches an LED lighting that has four LEDs, including red, green, blue and white LEDs (Takeguchi, para. 16). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention to use an additional white LED in MuthuPatent in view of Takeguchi's apparatus, to obtain the benefit of expressing neutral without lowering brightness (as taught by Takeguchi in para. 14). By doing so,

Art Unit: 2629

the white LED's chromaticity will remain constant with temperature variation (because the white LED already produces white light), while the RGB LEDs will vary linearly (as explained for claim 21) according to temperature variation.

21. **Claims 14 and 19** are rejected under 35 U.S.C. 103(a) as being unpatentable over Muthu in US 6,411,046 (hereinafter MuthuPatent) in view of Muthu et al. in US 2002/009700 (hereinafter MuthuPub2002), in further view of Nagai et al. in US 2003/0016198 (hereinafter Nagai) and of Sawai in US 4,604,753.

22. Regarding **claim 14**, MuthuPatent teaches an LED light emitting apparatus (MuthuPatent, luminaire of col. 1 lines 6-10) comprising:

LEDs of at least red, blue and green colors (MuthuPatent, red, green, blue LEDs of col. 2 line 67 to col 3 line 2); and

a control portion (MuthuPatent, controllers 30 and 34, and memory 36 of Fig. 1) having a non-volatile memory (MuthuPatent, Fig. 1, memory 36) capable of receiving/providing information for chromaticity maintenance for temperature of the LED light emitting apparatus (MuthuPatent, col. 3 lines 16-18 where chromaticity are the CIE xy coordinates);

a control circuit (MuthuPatent, controllers 30 and 34 of Fig. 1) that can read the information on respective colors (col. 3 lines 16-18 teaches the microcontroller 34 coupled to memory 36) and write control information into red, blue and green color

Art Unit: 2629

setting registers (MuthuPatent, Lumen feedback controller 30 of Fig. 1, where is shown the controller providing lumen feedback to the LED drivers 11, 13 and 15),

a calculation circuit (MuthuPatent, microcontroller 34 of Fig. 1) that performs calculation based on signals from the respective color setting registers (MuthuPatent, col. 3 lines 12-16) and

a temperature information signal that is received from a temperature measurement element (MuthuPatent, temperature sensor 33 of Fig. 1 and col. 3 lines 12-16) through a temperature information processing portion (MuthuPatent, microcontroller 34 of Fig. 1), and

current sources (MuthuPatent, power supply 9) for respective colors that provide drive currents for the red, blue and green LEDs (MuthuPatent, as shown in Fig. 1), wherein

the information for chromaticity maintenance for temperature that is received, provided by and from the non-volatile memory contains predetermined functions (MuthuPatent, col. 3 lines 12-26 where the microcontroller derives equations for the chromaticity coordinates, CIE xy, as a function of different heat-sink temperatures); a temperature coefficient (MuthuPatent, TH of col. 4 lines 50-60), and reference chromaticity and luminance data (MuthuPatent, col. 3 lines 48-60 where the chromaticity coordinates and lumen outputs are calculated for the white light chosen).

Although MuthuPatent teaches the predetermined function of light output (lumens) to be a linear function of temperature variation (Muthu Patent, col. 2 lines 12-25, functions of col. 5 lines 16-22 and col. 4 lines 42-44 referring to first-order

Art Unit: 2629

polynomials), MuthuPatent does not explicitly disclose the predetermined function based on drive current. However, MuthuPub2002 teaches that it is well known in the art that the light output (lumens) of the LED is proportional to the forward current (MuthuPub2002 para. 43 lines 14-16). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention, to use a proportional conversion of the MuthuPatent predetermined linear function (first order polynomial) of light output and heat-sink temperature (MuthuPatent col. 5 lines 17-23) and obtain a substantially linear formula of current and heat-sink temperature (given that lumen and forward current are proportional, thus they vary according to a constant multiple between them), because one of the ways to modify light output is by modifying LED forward current, and thus, a formula of forward current as a linear function of heat-sink temperature would provide the benefit of enabling modification of the lumen output, as it is an objective of the MuthuPatent to obtain the required lumens for the LEDs (MuthuPatent, col. 5 lines 13-16).

MuthuPatent in view of MuthuPub2002 do not explicitly teach control circuit writing color information at power startup, or DACs from the calculation circuit.

However, Nagai teaches performing driving control of the LED currents (Nagai, para. 103) by controlling light variation based on chromaticity (Nagai, abstract) by powering on/off the main current (Nagai, para. 103), and also, DACs for chromaticity correction (Nagai, para. 133). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention, to perform control at power startup in MuthuPatent's apparatus to obtain the benefit of chromaticity correction in one image of

Art Unit: 2629

an image frame period (Nagai, para. 153), and furthermore, it would also have been obvious to use DACs to supply the chromaticity information from MuthuPatent's controllers to the current drivers (MuthuPatent's Fig. 1) to enable current supply to the LEDs with the chromaticity correction.

Finally, MuthuPatent in view of MuthuPub2002 and Nagai teach predetermined functions for green and blue LEDs represent that control current values are linear functions of temperature (MuthuPatent, col. 5 lines 13-25); but fail to teach the predetermined function for the red LED to represent that a control current value is constant for temperature. Nevertheless, Sawai teaches a laser diode that is maintained at a constant temperature, irrespective of the ambient temperature (Sawai, col. 2 lines 11-18) and therefore the forward current supplied to the laser diode is not increased with increase in temperature (Sawai, col. 7 lines 29-35). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention to maintain any or all of the LEDs, for example the red LED, at a constant temperature, and consequently have the control current value for the red LED constant for different ambient temperatures (as taught by Sawai), in order to obtain a more precise temperature control in high ambient temperature (as taught by Saway in col. 7 lines 45-54 and lines 12-18).

23. Regarding **claim 19**, MuthuPatent does not teach the LED materials. However, Nagai teaches the LEDs could be chosen from a group including AlGaInP and nitride groups (Nagai, para. 76). Thus, it would have been obvious to one of ordinary skill in



Art Unit: 2629

the art at the time of the invention to try using a red LED composed of a AlInGaP group semiconductor material (AlGaInP of Nagai's para. 76), and the blue and green LEDs are composed of a nitride group semiconductor material (e.g. GaN of Nagai's para. 76) in MuthuPatent's apparatus; because as taught by Nagai, anyone of the materials will suffice for the LED and was available at the time of the invention, and thus, a person of ordinary skill in the art, would have been motivated to use the technology available at his/her grasp.

24. **Claim 13** is rejected under 35 U.S.C. 103(a) as being unpatentable over Muthu in US 6,411,046 (hereinafter MuthuPatent) in view of Muthu et al. in US 2002/009700 (hereinafter MuthuPub2002), in further view of Ozaki in US 2003/0011553 and Takeguchi in US 2002/0175632, and also in further view of Sheu et al. in their "White Light Emission" article from the IEEE photonics (hereinafter Sheu).

25. Regarding **claim 13**, MuthuPatent teaches LED lighting (MuthuPatent, luminaire of col. 1 lines 6-10) comprising:

LEDs with four different chromaticities of red, blue and green LEDs, and another color LED (MuthuPatent, red, green, blue and amber LEDs of col. 2 line 67 to col 3 line 5; different colors have different chromaticities); and

an LED controller (MuthuPatent, controllers 30 and 34 of Fig. 1) that controls light emitted from the LED lighting (MuthuPatent, col. 3 lines 22-26, where microcontroller 34 controls the light output of the LEDs) so as to be a desired color rendering level

Art Unit: 2629

(MuthuPatent, col. 3 lines 18-26 where the microcontroller controls light output based on calculated CIE xy coordinates);

a temperature detector (MuthuPatent, Fig. 1, temperature sensor 33), wherein the LED controller (MuthuPatent, controllers 30 and 34 of Fig. 1) controls drive currents of the LEDs (MuthuPatent, Fig. 1, where the controllers are connected to the power supply 9 that supplies the current to the LEDs through the LED drivers) based on a detected value from the temperature detector (MuthuPatent, col. 3 lines 12-16), and a predetermined function of LED temperature variation (MuthuPatent, col. 3 lines 12-26 where the microcontroller derives equations for the chromaticity coordinates, CIE xy, as a function of different heat-sink temperatures) and thus controls the light emitted from the LED lighting so as to be the desired color (MuthuPatent, col. 3 lines 29-32), rendering level as white light (MuthuPatent, col. 3, lines 6-10), wherein

the LED controller drives one LED with any one of the chromaticities (MuthuPatent, Red, Green and BLUE LEDs col. 13 lines 18-22) at a constant current (MuthuPatent, When the forward current for the LED arrays is regulated by PWM, there is a constant peak current per col. 4 lines 26-36).

Although MuthuPatent teaches the predetermined function of light output (lumens) to be a linear function of temperature variation (Muthu Patent, col. 2 lines 12-25, functions of col. 5 lines 16-22 and col. 4 lines 42-44 referring to first-order polynomials), MuthuPatent does not explicitly disclose the predetermined function based on drive current. However, MuthuPub2002 teaches that it is well known in the art that the light output (lumens) of the LED is proportional to the forward current

Art Unit: 2629

(MuthuPub2002 para. 43 lines 14-16). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention, to use a proportional conversion of the MuthuPatent predetermined linear function (first order polynomial) of light output and heat-sink temperature (MuthuPatent col. 5 lines 17-23) and obtain a substantially linear formula of current and heat-sink temperature (given that lumen and forward current are proportional, thus they vary according to a constant multiple between them), because one of the ways to modify light output is by modifying LED forward current, and thus, a formula of forward current as a linear function of heat-sink temperature would provide the benefit of enabling modification of the lumen output, as it is an objective of the MuthuPatent to obtain the required lumens for the LEDs (MuthuPatent, col. 5 lines 13-16).

Furthermore, MuthuPatent in view of MuthuPub2002 also teach a photosensor that senses the light intensity of all LED's in the array and which feedback that signal to the controller (MuthuPatent, Fig. 1, photosensor 24 and col. 3 lines 6-11); however, MuthuPatent in view of MuthuPub2002 fail to teach a drive time detector or the fourth LED to be a white LED. Nonetheless, Ozaki teaches controlling the time an LED is ON in order to compensate for reduction of brightness (Ozaki, para. 101). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention, to use a drive time detector to control the duration of an LED ON-time in order to obtain the benefit of improved bright balance (as taught by Ozaki in para. 101), as the light intensity is already being measured in MuthuPatent's apparatus, and MuthuPatent's

Art Unit: 2629

objective is to control color and light output automatically, continuously and on-line (MuthuPatent, col. 1, lines 32-34).

MuthuPatent in view of MuthuPub2002 and Ozaki do not teach a white LED. However, Takeguchi teaches an LED lighting that has four LEDs, including red, green, blue and white LEDs (Takeguchi, para. 16); thus, it would have been obvious to one of ordinary skill in the art at the time of the invention to use an additional white LED in MuthuPatent in view of Ozaki's apparatus, to obtain the benefit of expressing neutral without lowering brightness (as taught by Takeguchi in para. 14).

26. Neither of the above references teach the material characteristics of the white LED. However, Sheu teaches a white LED that can emit white light (Sheu, pg. 18, abstract) and is composed of a semiconductor light emitting element capable of emitting UV rays (Sheu, pg. 18, col 2, 1<sup>st</sup> para) and a phosphor emitting radiation caused by excitation of light emitted from the semiconductor light emitting element (Sheu, pg. 19, col. 1, 1<sup>st</sup> para in the middle, where it says that the LED chips pump phosphors). Therefore, it would also have been obvious to one of ordinary skill in the art at the time of the invention, to use a UV white LED which emits phosphors (as taught by Sheu), to obtain the added benefit of using a more optically stable white LED (as taught by Sheu in the abstract).

### ***Response to Arguments***

Applicants' arguments filed 2/12/2009 with respect to **claims 1, 4-6, 9, 12-14 and 16-17** have been fully considered but they are not persuasive. In the Remarks pg. 10-

Art Unit: 2629

11, the Applicants argue with respect to amended claims 1, 4-6, 9, 12-14 that MuthuPatent does not disclose a linear function in which drive current is related with temperature. The Examiner must respectfully disagree, although MuthuPatent's is shown as a second-order polynomial function (MuthuPatent Fig. 5), MuthuPatent clearly says that the CIE coordinates can be expressed using a first order polynomial (MuthuPatent, Col. 4 lines 42-44), thus MuthuPatent teaches a linear function of lumen output based on temperature. Furthermore, it is well known in the art that the light output of the LED (lumens) is proportional to the forward current, as evidenced by MuthuPatent in US 2002/0097000 (para. 43 lines 14-16). Note that although MuthuPatent2002/0097000 also explains that the light output varies exponentially with temperature, this temperature is the junction temperature of the LED (para. 42) and the temperature used in the rejection above is the temperature of the heat-sink where the LEDs are contained in MuthuPatent US 6,411,046 (see MuthuPatent US 6,411,046 Fig. 1, element 18).

Furthermore, Applicants argue in the Remarks pg. 12 with respect to **claim 5** that the Ozaki reference does not disclose a drive time detector that could be employed in Muthu's controller. The examiner must again respectfully disagree, the Ozaki reference (see Ozaki para. 100-101) clearly teaching correction of the LED ON-time to compensate for loss of LED brightness. Thus, it would have been obvious to one of ordinary skill in the art to control the LED ON-time to compensate for loss in brightness in a display application.

Finally, Applicants also argue in the Remarks pg. 15 with respect to **claim 17** that the Muthu is too vague to properly qualify as basis for teaching cubic functions. The Examiner must again respectfully disagree. Although Muthu does not teach cubic function, Muthu does teach second order polynomials (see MuthuPatent col. 4 lines 50-60), and that higher order polynomials can be used (MuthuPatent col. 4 lines 42-44) since the CIE coordinates are non-linear. Thus, using third-order polynomials instead of Muthu's second-order polynomials would be within a person of ordinary skill's reach, given that polynomials are used as modeling functions, and for non-linear behaviors, higher order polynomials may be more accurate.

Note that the rejections above have been changed to address the new limitation of a "predetermined function of...drive current".

### ***Conclusion***

27. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any

Art Unit: 2629

extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to LILIANA CERULLO whose telephone number is (571)270-5882. The examiner can normally be reached on Monday to Thursday 8AM-4PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Amr Awad can be reached on 571-272-7764. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/L. C./

Examiner, Art Unit 2629

/Amr Awad/

Supervisory Patent Examiner, Art Unit 2629

